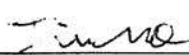
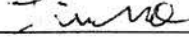


Notification Document for Transboundary Movements/Shipments of Waste

1. Exporter Registration N°: Name: SMI USA Address: 13079 Artesia Bl, Ste B112, Cerritos, CA 90703 USA Contact person: Jin Mo No Tel: 1-562-926-9955 Fax: 1-562-926-9955 Email: smi-usa@hotmail.com	3. Notification N°: 8946 2E 14 Notification concerning A. (i) Individual shipment: <input type="checkbox"/> (ii) Multiple shipment: <input checked="" type="checkbox"/> B. (i) Disposal (1): <input type="checkbox"/> (ii) Recovery: <input type="checkbox"/> C. Pre-consented recovery facility (2;3) Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 4. Total intended number of shipments: 60 5. Total intended quantity (4): Tonnes (Mg): 5,000 MT m3:
2. Importer Registration N°: 105-86-18226 Name: Sejong Major International Co., Ltd. Address: M2002, Songdo IT Center, 172-1 Songdo-dong Yeonsu-gu, Incheon, South Korea Contact person: Mr. Yong Ik Hwang Tel: 82-32-851-8885 Fax: 82-32-851-8868	6. Intended period of time for shipment(s) (4): First departure: 2014.05.02 Last departure: 2015.05.01 7. Packaging type(s) (5): Special handling requirements (6): Yes: No:
8. Intended carrier(s) Registration N°: Name(7): FAMEX Sea & Air Co., Ltd. Address: Rm 410, YWCA Bldg., 1-3, 1 GA Myung Dong Chung-gu, Seoul, South Korea Contact person: Tel: 82-2-730-3033 Fax: 82-2-730-3032 Email: famex@famexshipping.com Means of transport (5): Road, Sea	11. Disposal / recovery operation(s) (2) D code / R code (5): R4 Technology employed (6) <p align="center">SEE ANNEX (2)</p> Reason for export (1;6): Recycling
9. Waste generator(s) (1;7;8) Registration N°: Name: Address: SEE ANNEX (1) Contact person: Tel: Fax: Email: Site & process of generation (6)	12. Designation and composition of the waste (6): ISRI Rink, Wet, Non-spillable LEAD ACID BATTERY SCRAP
10. Disposal facility (2): <input type="checkbox"/> or Recovery facility (2): <input checked="" type="checkbox"/> Registration N°: 603-81-65077 Name: Energy Networks Ltd. Address: 642-10 Sinpyeong-dong Saha-gu, Busan, Korea Contact person: Kim Sang Dong Tel: 82-51-250-6227 Fax: 82-31-319-8233 Email: info@simajor.com Actual site of disposal/recovery:	13. Physical characteristics (5): Solid 14. Waste identification (fill in relevant codes) (i) Basel Annex VIII (or IX if applicable): A1160 (ii) OECD code (if different from (i)): A1160 (iii) EC list of wastes: (iv) National code in country of export: D008, D002 (v) National code in country of import: 02-01-02 (vi) Other (specify): (vii) Y-code: Y31 / Y34 (viii) H-code (5): H11 / H6 (ix) UN class (5): class 8, class 9 (x) UN Number: UN 2794, UN2800 (xi) UN Shipping name: (xii) Customs code(s) (HS): 8548-10-0540
15. (a) Countries/states concerned (b), code N° of competent authorities where applicable (c), specific points of exit or entry (border crossing or port)	
State of Export	State(s) of Transit (entry and exit)
(a) USA01	KOR2 ,Busan Port, Korea
(b) SEE ANNEX (3)	Incheon Port, Korea
(c)	Kwangyang Port, Korea
16. Customs offices of entry and/or departure (European Community): Entry: Exit: Export:	
17. Exporter's / Generator's (1) declaration: I certify that the information is complete and correct to my best knowledge. I also certify that legally-enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary movement. Exporter's-notifier's name: Jin Mo No Date: January 17, 2014 Signature:  Generator's-producer's name: Jin Mo No Date: January 17, 2014 Signature: 	
FOR USE BY COMPETENT AUTHORITIES	
19. Acknowledgement from the relevant competent authority of countries of import / transit (1) / export (9): Country: Notification received on: Acknowledgement sent on: Name of competent authority: Stamp and/or signature:	20. Written consent (1;8) to the movement provided by the competent authority of (country): Consent given on: Consent valid from: until: Specific conditions: No: <input type="checkbox"/> If Yes, see block 21 (6): <input type="checkbox"/> Name of competent authority: Stamp and/or signature:
21. SPECIFIC CONDITIONS ON CONSENTING TO THE MOVEMENT OR REASONS FOR OBJECTING	

- | | |
|---|---|
| (1) Required by the Basel Convention
(2) In case of R12/R13 or D13-D15 operation, also attach corresponding information on the subsequent R1-R11 or D1-D12 facility(ies) when required.
(3) To be completed for movements within the OECD area and only if B(ii) applies.
(4) Attach detailed list if multiple shipments | (5) See list of abbreviations and codes on the next page
(6) Attach details if necessary
(7) Attach list if more than one
(8) If required by national legislation
(9) If applicable under the OECD Decision |
|---|---|

ANNEX 1

Item # 9: Waste Generators:

- 1 Company: ALFA ENTERPRISES USA INC
Address: 2451 MCMULLEN BOOTH ROAD
CLEARWATER, FI 33759
Contact Person: DEEPESH OBEROI
Tel: 727 725 3858 Fax: 727 490 4409
Email: alfaenterprisesusa@gmail.com
- 2 Company: AABCON
Address: 4140 Fountainside Ln, # 102, Fairfax,
VA 22030, USA
Contact Person: Dhaval Shrimankar
Tel: 703 944 7878 Fax: 703 991 7686
Email: info@aabcon.com
- 3 Company: HARVEST UNIVERSAL CORPORATION
Address: 3657 Nina Court, Loganville,
GA 30052
Contact Person: Albert Bar
Tel: 770 554 0621 Fax: 770 554 9483
Email: albertbaw@bellsouth.net
- 4 Company: BULLFROG AUTO TRANSPORT LLC
Address: 906 Napoleon Rd., Bowling Green
Ohio 43402
Contact Person: Matthew Fagan
Tel: 419-409-0677 Fax: 419 352 7117
Email: matthewfagan@gmail.com
- 5 Company: INTERNATIONAL CORE SUPPLY
Address: 3417 E. Columbus Dr.
Tampa, FI 33605
Contact Person: Tee Sethi
Tel: 813 247 5811 Fax: 812 514 1179
Email: tee24ics@aol.com

ANNEX 1

Item # 11: Disposal/ Recovery Operation Technology employed:

Plastic container is sent to a plastic recycler

Lead Plates *Paste - to a smelter

 *Grids - Melting Furnace

Lead Terminals - Melting Furnace

Plate Separators - converted to a Fuel Source by another recycler

Acid Electrolyte is Neutralized, discharged and reclaimed

***Above Technology is applied by the recovery facility in the importing country, all Lead Acid Battery Scrap exported are whole, intact and not broken.

ANNEX 3

Item # 15: State of Export:

- Baltimore, Maryland
- Charleston, South Carolina
- Honolulu Harbor, Hawaii (New)
- Houston / Galveston, Texas
- Jacksonville, Florida
- Long Beach / Los Angeles, California
- Miami, Florida
- New York, New York
- Port of Anchorage, Alaska (New)
- Port Everglades, Florida
- Port of Virginia / Norfolk, Virginia
- San Francisco/Oakland, California
- San Juan Port, Puerto Rico (New)
- Savannah, Georgia
- Seattle / Tacoma, Washington
- Wilmington, North Carolina
- Guam Port, Guam
- Wilfred Bomba Allick Port, Virgin Islands
- Pago-Pago Port, American Samoa
- Saipan Port, Saipan

SMI USA

13079 Artesia Bl, Ste B112, Cerritos, CA 90703 USA

Tel : 1-562-926-9955 Fax : 1-562-926-4608

January 17, 2014

ATTN: OECD Export Notification
Office of Enforcement and Compliance Assurance
Office of Federal Activities
International Compliance Assurance Division
Environmental Protection Agency
Ariel Rios Building, Room 6144
12th St. and Pennsylvania Ave., NW
Washington, DC 20004

8946
2E
14

From: SMI USA
13079 Artesia Bl, Ste B112, Cerritos,
CA 90703 USA

“ATTN: License Application to Export Lead Acid Battery Scrap

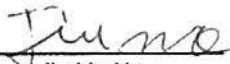
Dear Ms. Jana,

We, SMI USA, of 13079 Artesia Bl, Ste B112, Cerritos, CA 90703 USA, would like to apply for the license to export Lead Acid Battery Scrap from USA to South Korea through, Sejong Major International Co., Ltd. (Importer) and the disposal facility from this license is Energy Networks Ltd..

Kindly see attached Notification form as supporting Document to this intention.

Your prompt action on the matter is highly appreciated.

Best Regards,


Jin Mo No
SMI USA

P.S.

The Korean Environment Office for this Region Through which the Notification for Hazardous Waste will be sent is as follows:

Nakdong Basin Environmental Office
Sinwol-dong, Uichang-gu, Changwon-si,
Gyeongsangnam-do, Korea 641-722

Contact Person: Shin Dong Min
Tel. No: 82-55-211-1637
Fax. No.: 82-55-211-1649